

## Response to the referee

We thank the referee for their critical assessment of our work. In the following we address their concerns point by point. All changes made to the manuscript have been boldfaced.

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**Referee Point 1)** — Since neither set of models using the two different opacity tables provide a good match to the properties of the Jao gap, the possibility that the convective kissing instability is not the correct explanation for the gap has to be considered.

The first paper to offer an explanation for the existence of the gap (MacDonald & Gizis 2018) states 'Convective mixing is treated as a diffusion process with the diffusion coefficient determined from mixing length theory.' and 'The fully implicit nature of our code also prevents the convective kissing instability discovered and described by van Saders & Pinsonneault (2012).' The treatment of convective mixing as a diffusion process is probably the reason why MacDonald & Gizis find a single episode of convection zone merger (for models in which merger occurs) and hence a single dip in the luminosity function, which seems to be the case for the Jao gap (inferred from figure 1 of this paper).

In contrast, the use of instantaneous mixing leads to multiple episodes of convection zone merger and this could be the reason why the authors find two dips in the luminosity function (inferred from their figures 7 and 8). The authors need to give in the paper a convincing argument as to why the instantaneous mixing approximation is valid, particularly as using the diffusion approach seems to more physically consistent with current understanding of turbulent mixing. This should involve estimates using mixing length theory of the mixing time scale at all stages of the merger, with special attention to mixing time scales within one mixing length on either side of the point of contact between the merging convection zones. This region is where the mixing time scale is most likely to be the longest.

The mixing time scale then needs to be compared to other relevant time scales including the time scale for deuterium to come into equilibrium and the time scale at which the helium-3 abundance is modified by nuclear reactions.

If it turns out that the instantaneous mixing approximation is not valid, then there needs to be discussion of alternative approaches and their consequences. The thrust of the paper could be changed to show that the CKI is not the correct explanation of the gap.

**Reply:** We have carefully investigated the referee's concern relating to DSEP's use of an instantaneous mixing approximation. After this investigation we believe that instantaneous mixing is a valid approximation. The reasons for this are outlined below; Additionally, much of the justification has been included in the text of the manuscript on page 5.

The referee's concern may be addressed in two parts. First, whether or not instantaneous mixing is a valid approximation for species which will not remain in equilibrium throughout a star (such as  ${}^3\text{He}$ ) and second whether or not this approximation is valid for species which will remain in equilibrium (such as  ${}^2\text{H}$ ). Put another way, we address these concerns for species whose lifetime is long compared to the mixing timescale and for species whose lifetime is short compared to the mixing timescale.

To the first part of this concern, species with long lifetimes compared to the mixing timescales, such as  ${}^3\text{He}$ . Instantaneous mixing will be a valid approximation here if the mixing timescales are much shorter than the timesteps used. In order to estimate the mixing timescale we look to recent hydrodynamical simulations of low mass stars from Käpylä 2021 which estimate a mixing timescale of between  $10^7\text{s}$  and  $10^8\text{s}$  depending on rotational period and whether or not magnetic fields are considered (their Table 1). These numbers are in line with estimates using mixing length theory from Chabrier & Baraffe 1997. The

largest timestep allowed in the models we run is 50 million years, more than five orders of magnitude larger than the longer estimate of the mixing timescale. Moreover, we see that we are resolving the mixing of  ${}^3\text{He}$  (Figure 2 in the manuscript) as we observe a smooth increase in core  ${}^3\text{He}$  concentration for gap stars in our models.

In addition to these timescale arguments, we direct the referee to recent work conducted by the MESA collaboration (Jermyn et al. 2022 Figure 2). MESA has recently implemented time dependent convection (TDC) in addition to standard mixing length theory (MLT) making use of instantaneous mixing. The models presented in Figures 1 and 2 (private communication with Aaron Dotter) are for stars within the Jao Gap (identified in MESA in Mansfield & Kroupa 2021). Note the extreme similarity between the instantaneous approximation and the time dependent convective models.

A complication to this argument arises with  ${}^2\text{H}$  however. The deuterium lifetime against proton capture is extremely short, at  $10^7\text{K}$  around 100s. Chabrier & Baraffe 1997 identify that an instantaneous mixing model of convection may have a strong suppressing effect on a models luminosity (20% at  $0.1 M_{\odot}$  and 56% at  $0.075 M_{\odot}$ ). The root cause of this effect is identified as the build up of a deuterium gradient in a models core when the mixing timescale is significantly longer than the deuterium burning timescale. However, because the lifetime of deuterium against proton capture so much shorter than the lifetimes other species in the proton-proton 1 chain it's will remain in equilibrium with  ${}^1\text{H}$ . Specifically, the equilibrium abundance of deuterium is given by Equation 1 (where  $N$  denotes the number fraction of some species and  $\lambda$  denotes reaction rates between two species).

$$N_{2H} \rightarrow \frac{N_{1H} \lambda_{1H,1H}}{2\lambda({}^1H,{}^2H)} \quad (1)$$

DSEP computes nuclear energy generation using John Bahcall's nuclear reaction subroutine (`exportenergy.f` available for review at <http://www.sns.ias.edu/~jnb/>) in each shell individually and therefore takes into account the correct, equilibrium, abundance of deuterium on a shell-by-shell basis. Therefore, the luminosity discrepancy identified by Chabrier & Baraffe 1997 is implicitly accounted for in DSEP's handling of nuclear reactions and does not require a change in DSEP's treatment of convective mixing to account for.

Finally, there is precedent for more complex structure in the observed color-magnitude diagram, Jao & Feiden 2021 identify an under density below the Jao Gap in the EDR3 color magnitude diagram. While we do not have sufficient observational constraints or uncertainty constraints to claim that this under density is in fact a second gap as we find in our models it is not unprecedented that there may be more than one gap. Aside from this additional feature, one potential explanation for why there is only one gap that has been consistently identified in observations is that the population synthesis code we use does not account for age and composition differences within a population, all of which will act to smear multiple mixing events together over gigayear time-spans. We point the referee to work by Feiden et al. 2020, also using DSEP, which accounts for age variation within a population and the composition differences which are coupled to that. These modeling efforts also only identify a single population suggesting that the simplicity of our population synthesis code is in fact the root cause of the multiple mixing events we see in the synthetic CMD.

Therefore, after careful investigation, we believe that our use of an instantaneous mixing approximation is valid.

**Referee Point 2)** — The convective mixing method used by MacDonald & Gizis needs to be properly described along with the resulting differences from using instantaneous mixing.

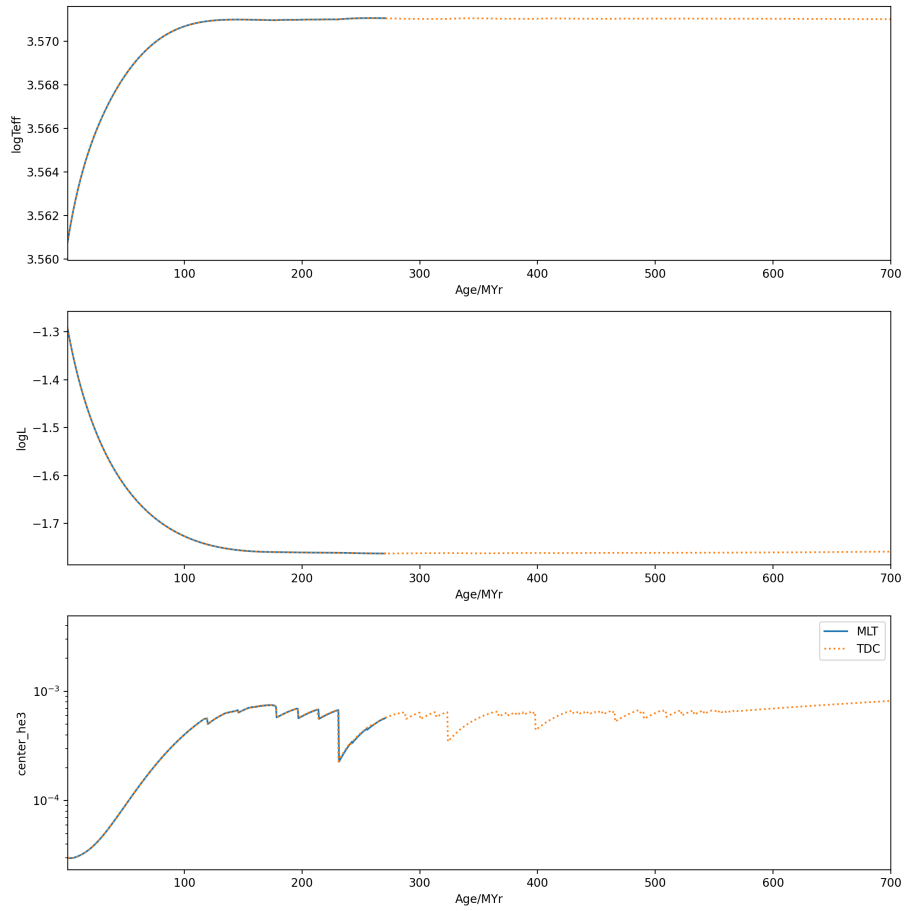


Figure 1: Results acquired through private communication with Aaron Dotter showing MESA models from Mansfield & Kroupa 2021 (a model near the Jao Gap). One model makes use of a standard instantaneous mixing approximation while the other uses the newly introduced Time Dependent Convection. Note the similarity between the models

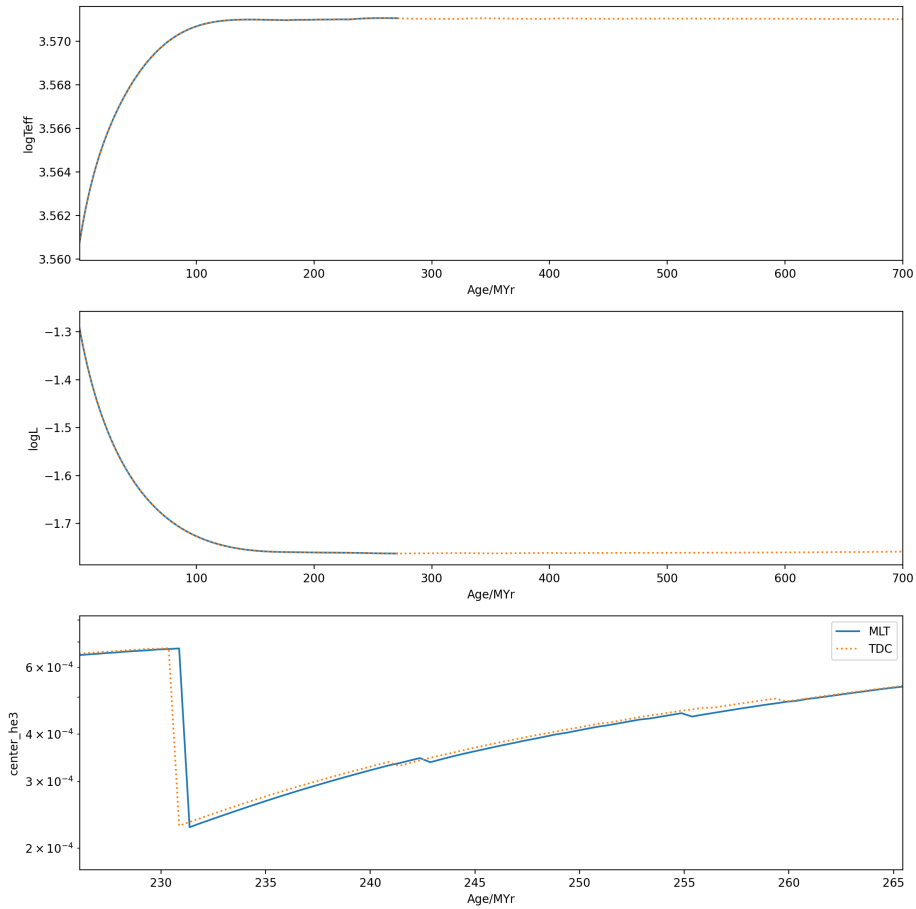


Figure 2: Results acquired through private communication with Aaron Dotter showing MESA models from Mansfield & Kroupa 2021 (a model near the Jao Gap). One model makes use of a standard instantaneous mixing approximation while the other uses the newly introduced Time Dependent Convection. Note the similarity between the models

**Reply:** Additional text has been added to page 2 the manuscript addressing the results of MacDonald & Gizis.

**Referee Point 3)** — On line 184, the authors say that the OPLIB tables were created to resolve the discrepancy between helioseismic and solar model predictions of chemical abundances in the Sun. I find this to be an odd way to phrase the problem. Presumably the authors are referring to the difficulty of making solar models that match the sound speed profile (or almost equivalently the depth of the surface convection zone) determined by helioseismology given the composition constraints provided by the then recent new measurements of the surface abundances, notably the oxygen abundance. The authors should rephrase the problem and also discuss whether using OPLIB opacities help resolve the problem or not.

**Reply:** We agree with the referee that the invocation of helioseismic discrepancies is confusing. Because this is not a helioseismic paper we drop from the text, instead just mentioning that the OPLIB tables make use of the most up to date physics, which is the most relevant point to our work. We do note here though that the OPLIB opacities did not serve to resolve any discrepancies.

**Referee Point 4)** — The distinction between low temperature and high temperature opacity sources made in the paragraph beginning on line 196 is somewhat artificial. Presumably the transition between low temperature and high temperature opacity is chosen to be between  $10^{4.3}$  and  $10^{4.5}$  K because there is where the Ferguson et al. opacities and OPAL opacities are close to each other. Perhaps the evolution could be affected if a different temperature range for the transition is used. The authors should stress in this section that as far as modeling the gap the main impact of using different opacities is on the radiative zone, and give the temperature and  $R$  (or density) ranges that are relevant to the radiative zone(s) so that the reader can see from figure 3 the expected change in opacity, and also if  $\log R = -1.5$  is truly a representative value in the radiative zone.

Also, from figure 3, it seems that the OPLIB opacities are lower than the OPAL opacities for temperatures greater than  $10^{5.5}$  K and not  $10^5$  K as stated in the figure caption and also on line 203.

**Reply:** The range where DSEP ramps from low temperature to high temperature opacities is determined by the temperature range where molecules can start to form. It is important for the low temperature opacities to be the only opacity source by the time the first molecules start forming. We choose to ramp the opacity source so that there is not a hard discontinuity. This same ramp has been used as standard in all DSEP models since 2008, see Dotter et al. 2008 for further details.

We have updated references in our paper to  $\text{Log}(R)=-1.5$  as a good approximation throughout a star. For a GS98 solar composition 1 solar mass model this is a good approximation; however, we calculate  $\text{Log}(R)$  throughout a 0.356 solar mass model (the same model used to generate Figure 2 in the manuscript) and find that a more representative value of  $\text{Log}(R)$  is  $-0.79$ . It is not surprising that this value is somewhat larger given the lower mass of this model and the commensurately lower pressures and temperatures. We have updated Figure 3 to show the opacity at  $\text{Log}(R) = -0.5$  ( $-0.79$  is not on the  $R$  grid we use).

The referee is correct that we had mislabeled the  $\log(T)$  value where OPLIB opacities are systematically lower than OPAL opacities. We have changed this to the correct value (5.2 for  $R=-0.5$ ). We thank the referee for identifying this issue.

**Referee Point 5)** — In section 3.2, mention is made of the solar surface Z/X ratio but the actual value is not given. Is it the value recently determined by Magg et al. (2022),  $Z/X = 0.0225$  or some other earlier value? The authors should state the actual Z/X value used.

Also, the authors need to say whether or not they include gravitational settling and element diffusion in their solar modeling, and if they do, say how it is done (e.g. are elements grouped or treated independently). The authors should also include discussion of how well their solar models replicate the sound speed profile determined from helioseismology.

**Reply:** The Z/X reference (GS98) and value have been added into the text in section 3.2 along with clarification that we do include gravitational settling with elements grouped together.

A full discussion of the discrepancies between helioseismology and solar modeling is worth a second paper by itself and has been extensively discussed in the literature so we feel this is outside the scope of this paper.

**Referee Point 6)** — Presumably, the authors use their solar calibrated models to set the mixing length ratio and initial abundances for their calculations of the evolution of models of low mass stars. Do they use primordial or present-day solar abundances? Why should the mixing length ratio be the same as the solar calibrated? There is evidence that the mixing length varies with stellar properties (e.g. Trampedach et al. 2014; Joyce & Chaboyer 2018). A better fit to the location of the gap might be obtained by adjusting the mixing length ratio. The authors need to address these questions.

**Reply:** The referee raises a important issue which has lead us to investigate the effects of mixing length on the Jao Gap.

We use GS98 solar abundances (Grevesse & Sauval 1998) for all models. While, as the referee says, there is substantial evidence of a metallicity dependence for the mixing length parameter this dependence has only been calibrated for higher mass stars. In order to fully address this point we have run an additional grid of models with the mixing length parameter dramatically lowered ( $\alpha_{ML} = 1.5$  &  $\alpha_{ML} = 1.0$ ). Results of that grid are shown in Figures 3, 4, and 5.

What is clear from these additional grids is that the Jao Gap location is sensitive to the value of the mixing length parameter with the magnitude of the gap being inversely proportional too the mixing length parameter ( $G_{mag} \propto -0.15\alpha_{ML}$ ). Moreover, dramatically lowering the mixing length parameter to  $\alpha_{ML} = 1.0$  does bring the location of the Jao Gap we model in closer agreement with the empirically measured location of the Gap.

However, there is no a priori reason which we are aware of to expect the mixing length parameter to be so much lower in these low mass stars than it would be in the sun. Much of the work calling into question the use of solar calibrated mixing lengths in higher mass stars has identified a [Fe/H] dependence. Given that the population of stars which the Jao Gap has been identified in is relatively similar to the sun in composition extrapolating the empirical calibrations backward would not predict such a large dip in the mixing length.

Therefore, we have modified the manuscript to include a limited discussion of the perils of using solar calibrated mixing length parameters, along with the results of our  $\alpha_{ML} = 1.5$  and 1.0 populations. Finally, we have added into the conclusions a call for further work to be done studying the Jao Gap location as a potential calibration point for the mixing length of lower mass stars.

We thank the referee for pointing us in this direction.

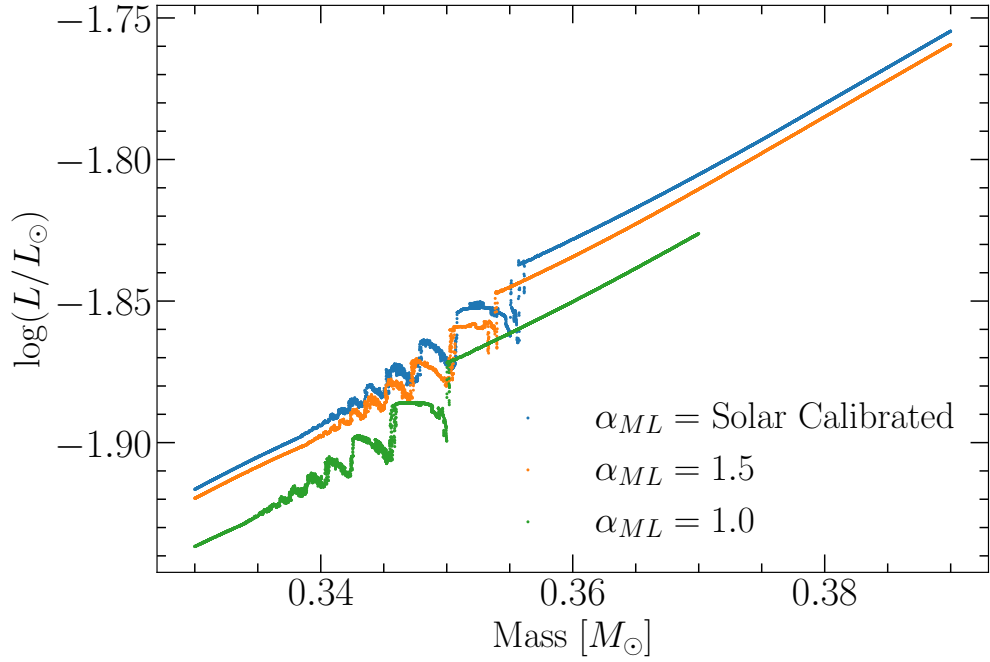


Figure 3: Mass Luminosity relation for mixing length grid. From top to bottom: solar calibrated mixing length, mixing length of 1.5, and mixing length of 1.0

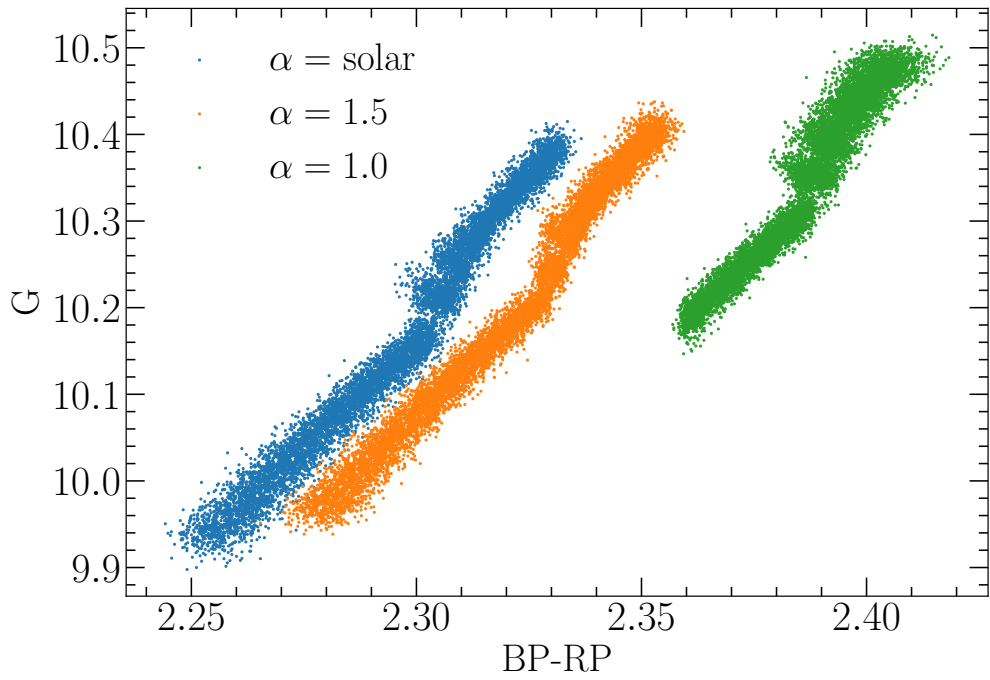


Figure 4: Jao Gap seen in three populations using OPLIB high temperature opacities. From left to right these use a solar calibrated mixing length, a mixing length of 1.5, and a mixing length of 1.0

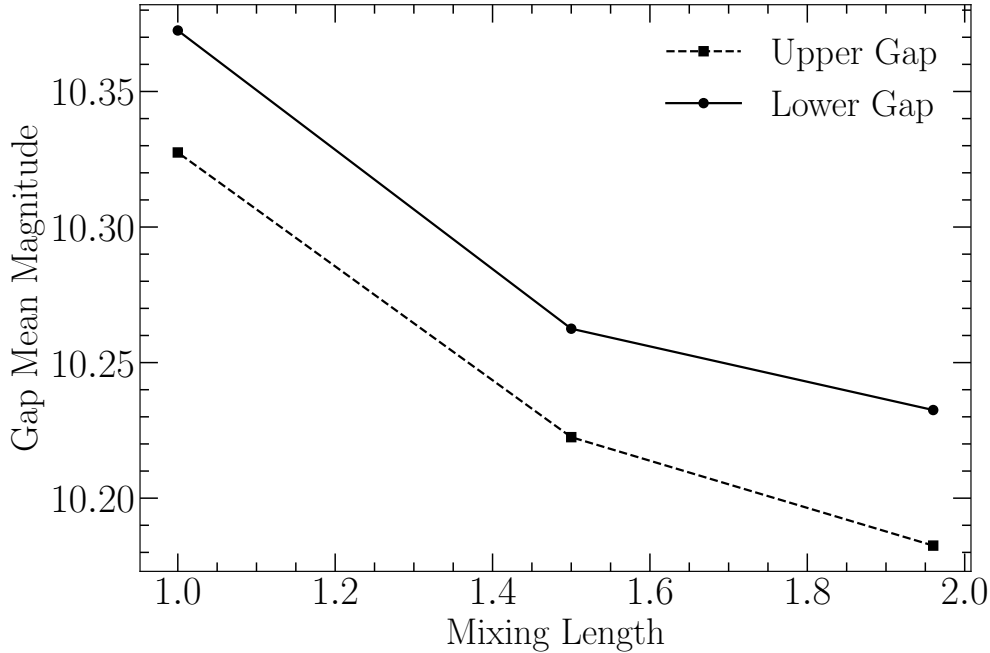


Figure 5: Identified locations of the two density dips we observe as a function of the Mixing Length used in those populations.

**Referee Point 7)** — On trying the web interface for the OPLIB, it seems that the process of interpolating between  $\rho$  and  $R$  is unnecessary. Once  $T$  is specified, it is possible to get the same set of  $R$  values as for the OPAL tables by specifying the starting value of  $\rho$ . Then only interpolation in  $T$  is needed to get a table in the same form as the OPAL tables. The authors need to clarify why they chose their approach. Also, it would be helpful to include in figure 15 a line plot of fractional difference against  $\log T$  for  $\log R = -1.5$  or a different  $R$  value if  $-1.5$  is not found to be representative for the radiative zone (see point 4).

**Reply:** The referee is correct that given a fixed temperature it is possible to extract specific  $R$  values from the OPLIB web form by specifying the correct density range. While we ultimately do not modify our querying program to operate like this we thank them for addressing this.

We do not modify `pyTOPSScrape` as unfortunately this query method is not practically feasible when using a range of temperatures and densities simultaneously. Specifically, because each temperature within such a range would require a unique density range to be specified in order to match the OPAL table  $R$  range. This is not a feature offered by the webform.

The reason we avoid querying a single temperature at a time is that making a separate call to the TOPS webform for not just each composition but also each temperature in that composition would increase the traffic per OPAL table by a factor of 70 (from 126 calls currently to 8820). This is both infeasible from a runtime perspective (as opening a new call is the most time intensive part of the scraper as the JavaScript engine is initialized and would likely push the generation time of a single table from 10 minutes to over 5 hours) and would place a significantly increased load on the Los Alamos servers. We have spoken with the Los Alamos team and have their consent to release this software with only with the current traffic it generates.



A brief description of this has been included in Appendix B.

The vertical line on Figure 13 has been updated to reflect the more accurate approximation of  $\text{Log}(R) = -0.79$  throughout the star as opposed to  $-1.5$ .